

RSPO SCCS Risk Assessment for client:

1. Risk assessment for evaluating clients Risk Level				
Nr.	Risk indicator	Statements by clients	Evaluation Comment (by CB / Auditor)	Factor (normal 1, medium 1,5, high 2)
1	Number of RSPO relevant production sites (legal ownership and physically handling of RSPO material)			
2	Country(s) of production			
3	RSPO relevant activities outsourced?			
4	Is complete evidence of suppliers, raw materials and commodities available?			
5	Responsibilities and decision making powers are clearly described in the quality management system?			
6	Persons responsible for RSPO are familiar with regulations in the RSPO supply chain certification standard?			

7	<p>Fields of activity (scopes): e.g.: processing, trading, packaging</p> <p>Please describe your RSPO relevant fields of activity.</p>			
8	<p>Are Palm products purchased as RSPO sustainable and non-sustainable, so that a risk of mixing is present?</p> <p>If YES: is there a procedure in place to handle the risk of mixing?</p>			
9	Number of RSPO relevant suppliers?			
10	<p>Are there external warehouses where RSPO relevant material is stored and physically handled?</p> <p>(physical handling is relevant up to the final packaging and labeling with RSPO claims)</p>			
11	Are RSPO products delivered directly to grocers (end consumer products)?			
12	Number of customers who receive products with RSPO claims?			

13	<p>Proportion of permanently, temporary and seasonal employed staff. Communication and diversity of languages spoken.</p> <p><u>Please indicate, if it is not possible to perform the audit at any relevant production site or warehouse in German or English!</u></p>			
14	<p>Number and description of RSPO relevant activities carried out by subcontractors?</p>			
15	<p>Is a Quality Management System present?</p> <p>Are internal audits carried out?</p> <p>Is there a management review in place?</p>			
16	<p>Participation in other certification schemes or Quality Management Programs with external certification?</p>			
17	<p>Transparency: Is there any public reporting on company websites, advertising or corporate communications with reference to sustainable palmoil or RSPO?</p>			

18	Aspired RSPO module(s) (MB/SG/IP/B&C)			
19	Upright rspo membership? (membership number)	RSPO membership and eTrace registry is mandatory for certification!		
20	Upright eTrace registration? (eTrace number)			
21	Additional notes from client, if applicable			
22	Summarized Comment Lead Auditor:		dated:	
			Final Risk Level: reg, med, high	

Person responsible for given information at client:

Date/Signature: